

PROCEDURES

Applicable timeframes: Block Trades, EFPs and EFSs

- Submission and Confirmation of Block Trades;
- Submission and Confirmation of EFPs and EFSs

The applicable timeframes for the submission and confirmation of Block Trades, EFPs and EFSs are defined as following.

Block Trades

Members are required to submit a Block Trade in accordance with the Rules, Chapter IV, and immediately after the Block Trade has been arranged or concluded, but in no event later than the applicable timeframe as defined below:

Contract	Reporting time
Futures	Within 15 minutes after the trade being completed, but no later than the closing time listed in Appendix B.1 of the Rules
Options	Within 15 minutes after the trade being completed, but no later than the closing time listed in Appendix B.1 of the Rules

EFPs and EFSs

Members are required to report details of the EFP or EFS in accordance with the Rules, Chapter IV, and in accordance with the applicable timeframe as set out below:

EFP/EFS – reporting timeframe

Contract	Applicable reporting day	Reporting time
Futures	On all days except on the expiry day for the expiring contract month	Within 15 minutes after the close of the relevant individual Product*
	On the expiry day for the expiring contract month	Within 15 minutes after such contract month has ceased trading
Options	On all days except on the expiry day for the expiring contract month	Within 15 minutes after the close of the relevant individual Product*

	On the expiry day for the expiring contract month	Until the end of the designated settlement period of the underlying Future on the expiry day of the Option
EUA Futures**	On all days except on the expiry day for the expiring contract month	Within 30 minutes after the close of the relevant individual Product*
	On the expiry day for the expiring contract month	Within 30 minutes after such contract month has ceased trading
EUA Options**	On all days except on the expiry day for the expiring contract month	Within 30 minutes after the close of the relevant individual Product*
	On the expiry day for the expiring contract month	Until the end of the designated settlement period of the underlying Future on the expiry day of the Option

* Or by the close of the ICE Endex Platform, whichever is the earlier. Further details on the close of business are set out in Appendix B.1 of the Rules.

** Only applicable for EFS